

# Local connectivity of the Julia set for geometrically finite rational maps

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with an appendix by Yin Yongcheng

## Abstract

In this paper we prove that each (eventually) periodic connected component of the Julia set of a geometrically finite rational map is locally connected.

## 1 Introduction

We say that a rational map  $f : \overline{\mathbb{C}} \rightarrow \overline{\mathbb{C}}$  with degree  $d > 1$  is *geometrically finite* if every critical point is either eventually periodic or attracted after infinite iterates to an attracting or parabolic periodic cycle. Denote by  $J$  the Julia set of  $f$ . The *postcritical set*  $P_f$  is the union of forward orbits of critical points. Then  $f$  is geometrically finite iff  $\overline{P_f} \cap J$  is a finite set.

The main results of this paper are the following:

**Theorem A** Let  $f : \overline{\mathbb{C}} \rightarrow \overline{\mathbb{C}}$  be a geometrically finite rational map of degree  $d > 1$ . Assume that the Julia set  $J$  is connected. Then  $J$  is locally connected .

**Corollary B** If  $J$  is not connected, then each eventually periodic connected component of  $J$  is locally connected .

The similar statement of theorem A concerning geometrically finite Kleinian groups is known.

The family of geometrically finite rational maps contains all hyperbolic and sub-hyperbolic rational maps. The local connectivity of a connected Julia set is known, in the case that  $f$  is a geometrically finite polynomial (Douady-Hubbard, [DH], see also [CG]), or  $f$  is a hyperbolic rational map (Milnor, [Mi2]). There are also many results concerning geometrically infinite polynomials (Branner-Hubbard, Yoccoz and others), but the proofs are completely different, and much harder.

It is conjectured (cf. McMullen's section in [Bi]) that each Julia component of a geometrically finite rational map is locally connected . From our theorem it remains to show that each wandering component is locally connected . The question is still open.

The proof of Theorem A follows essentially the idea of Douady-Hubbard ([DH]). We use also some idea of Carleson-Gamelin ([CG]).

This paper is organized as follows:

In section 2 we show that each periodic Fatou component of a geometrically finite rational map has locally connected boundary. The proof consists of several parts, one for the construction of a suitable neighborhood of the Julia set (the neighborhood is degenerate at parabolic periodic points), and a suitable covering space of it; one for the

construction of a metric so that the mapping is strictly expanding with respect to it; and one for the uniform convergence of sequences of curves to the boundary of periodic Fatou components.

Section 3 controls the diameter of Fatou components, with the help of the metric obtained in section 2, and ends the proof of Theorem A. As a corollary of theorem A and a result of McMullen, we get corollary B.

This paper contains also an appendix written by Yin Yongcheng. It consists of a useful technical result for general rational maps. It provides a second proof of what we have down in section 3. It also gives short proofs of some known results, for example, none rational map with no rotation domain can accept a Levy cycle.

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## 2 The boundary of periodic Fatou components

The aim of this section is to prove the following result.

**Theorem 2.1** *Let  $f : \overline{\mathbf{C}} \rightarrow \overline{\mathbf{C}}$  be a geometrically finite rational map with connected Julia set,  $\deg(f) = d > 1$ . Then every periodic Fatou component has locally connected boundary.*

Definition:

$A = \{(\text{super})\text{attracting periodic points of } f\};$

$P = \{\text{parabolic periodic points of } f\};$

$C = \{f^n(c) \mid n \geq 0, c \text{ critical point of } f\}.$

### Step 1. The compact set $\Omega$

**Lemma 2.2** *Let  $V$  be a periodic parabolic component. There is a unique parabolic periodic point  $a \in \partial V$  such that  $a$  is in the limit set of*

*$\{f^n(z)\}$  for any  $z \in V$ . Assume the period of  $V$  is  $kq$ , with  $k$  the period of  $a$ . Then there is an open Jordan domain  $D' \subset V$  with the following properties: a)  $\overline{D'} \cap J = \{a\}$ ; b)  $D' \supset (V \cap C)$ ; c)  $f^{kq}(\overline{D'}) \subset D' \cup \{a\}$ .*

*Proof.* There is a surjective holomorphic mapping  $\phi : V \rightarrow \mathbf{C}$  such that  $\phi \circ f^{kq}|_V = T_1 \circ \phi$  (where  $T_1$  is the translation  $z \mapsto z + 1$ ). The critical points of  $\phi$  are points  $z$  such that for some integer  $n \geq 0$ , the image  $f^{nkq}(z)$  is a critical point of  $f^{kq}$ .

Let  $x_0 \in \mathbf{R}_+$  large enough such that there is no critical value of  $\phi$  in the half-plan  $\{x + iy | x > x_0\}$ . In  $\phi^{-1}(\{x + iy | x > x_0\})$  there is a unique connected component  $D_0$  which has  $a$  in its closure. One can choose the value of  $x_0$  such that  $\partial D_0 - \{a\}$  is disjoint from the postcritical set of  $f$ . By construction, we have  $\overline{f^{kq}(D_0)} \subset D_0 \cup \{a\}$ .

For each  $m$ , denote by  $D_m$  the unique connected component of  $f^{-mkq}(D_0)$  containing  $D_0$ . Note that  $f^{kq} : D_m \rightarrow D_{m-1}$  is a ramified covering and the set  $\overline{D_m} \cap J$  consists of finitely many points  $b$  verifying  $f^{mkq}(b) = a$ . Moreover  $\overline{D_m} \cap J \supset \overline{D_{m-1}} \cap J$  and  $\overline{D_{m-1}} - J \subset D_m$ . Let  $n$  be an integer such that  $V \cap C \subset D_n$ . We will modify  $\overline{D_n}$  in the following way:

For  $j = 1, \dots, n$ , set  $S_j = \{x + iy | x \geq -y^2 + c_j\}$ , where the constants  $c_j$  are real and are chosen such that  $c_n > x_0$ , there is no critical value of  $\phi$  on  $S_n$ , and  $c_{j-1} > c_j + 1$  for all  $j$ . Take  $b \in \partial V \cap \overline{D_n} = J \cap \overline{D_n}$ . Assume  $j$  is the minimal integer such that  $f^{jkq}(b) = a$ . Let  $W(b)$  be the unique connected component of  $\phi^{-1}(S_j)$  containing  $b$  in the closure. Since

$$\phi \circ f^{kq}(\text{int}(W(b))) = T_1 \circ \phi(\text{int}(W(b))) = T_1(\text{int}(S_j)) \supset S_{j-1} = \phi(W(f^{kq}(b))),$$

we have  $\text{int}(f^{kq}(W(b))) \cup \{f^{kq}(b)\} \supset W(f^{kq}(b))$ .

Then  $D' = D_n - \bigcup_{b \in J \cap \overline{D_n}} (W(b) \cup \{b\})$  verifies the required properties.  $\blacksquare$

**Proposition 2.3** *Given any finite set  $P'$  containing  $P$  such that  $f(P') \subset P'$ , and  $f^n(P') = P$  for some  $n$ , one can find a finitely connected and locally connected compact set  $\Omega$  such that  $(\partial\Omega) \cap J = P'$ ,  $(C_A \cup A) \cap \Omega = \emptyset$ ;  $J \subset \text{int}(\Omega) \cup P'$ ;  $f^{-1}(\Omega) \subset \text{int}(\Omega) \cup P'$ ;  $\text{int}(\Omega)$  is connected, and  $\Omega \cap C_{P'} = \emptyset$  (where  $C_{P'}$  is the subset of  $C$  contained in the union of eventually parabolic periodic components attached to points of  $P'$ ).*

*Proof.* For each  $a \in A$ , take an open disc neighborhood  $\Delta_a$  of  $a$  such that  $f(\overline{\Delta_a}) \subset \Delta_{f(a)}$ . Set  $C_A = \{f^n(c) \mid n \geq 0, c \text{ critical point of } f \text{ attracted by an attracting cycle}\}$ ; Let  $n_A$  be the minimal integer such that  $B_A = f^{-n_A}(\bigcup_{a \in A} \Delta_a)$  contains  $C_A$ . The closure of  $B_A$  is contained in the Fatou set. By the assumption that the Julia set of  $f$  is connected, each connected component of  $\overline{B_A}$  is a closed disc.

For each  $a \in P$ , by the same method as showed in the above lemma we can take a big flower  $F_a$  centered at  $a$  such that  $f(F_a - \{a\}) \subset \text{int}(F_{f(a)})$  and  $\bigcup_{a \in P} \text{int}(F_a) \supset C_P$ . Now to each point  $b \in P'$  we associate the minimal integer  $j(b) \geq 0$  such that  $f^{j(b)}(b) \in P$ . Define a total order on  $P'$  such that if  $b_1 < b_2$ , then  $j(b_1) \leq j(b_2)$ . For  $b \in P' - P$ , we will construct disjoint flowers  $F_b$  by induction with respect to the order. There are finitely many Fatou components  $V$  attached to  $b$  such that  $f^{j(b)}(V)$  is periodic. For  $V$  one of them which is disjoint from  $\bigcup_{b' < b} F_{b'}$  we choose a closed petal (a closed Jordan domain)  $F_V$  such that  $b \in F_V \subset V \cup \{b\}$ ,  $F_V \supset (C \cap V)$  and  $f(F_V - \{b\}) \subset \text{int}(F_{f(b)})$  (note that  $f(b) \in P$  and  $f(b) < b$ ). This is possible since the Julia set is connected. We set  $F_b$  to be union of these petals. Set  $B_{P'} = \bigcup_{b \in P'} \text{int}(F_b)$ . Then  $\overline{B_{P'}} = \bigcup_{b \in P'} F_b = B_{P'} \cup P'$ , and  $\overline{B_{P'}}$  is contained in the union of  $P'$  with the Fatou set.

We claim that the set  $\Omega = \overline{C} - (B_A \cup B_{P'})$  verifies the required properties. The only thing to check is that  $\text{int}(\Omega)$  is connected. This is guaranteed by the ordering on  $P'$  and the choice of  $V$  to define  $F_V$  (in fact there is a unique point in  $J \cap F_b$ ).  $\blacksquare$

## Step 2. The covering space of $\Omega$

We will need the following generalization of Caratheodory's theorem:

Denote by  $D$  the open unit disc.

Let  $W$  be an open connected relatively compact subset of a Riemann surface. Assume that the universal cover of  $W$  is  $D$ . Let  $\pi : D \rightarrow W$  be the projection. Assume also  $\partial W$  has finitely many connected components. Denote by  $\hat{W}$  the ideal closure of  $W$ , i.e.  $\hat{W} = W \cup \{\text{primes ends of } W\}$  (recall that a prime end of  $W$  is defined by a sequence of cross-cuts with distinct endpoints). Note that a puncture component of  $\partial W$  is not in the ideal closure). Each continuous curve  $\gamma : [0, 1] \rightarrow \overline{W}$  with  $\gamma([0, 1[) \subset W$ ,  $\gamma(1) \in \partial W - \{\text{punctures}\}$  defines a unique prime end, and  $\gamma_0, \gamma_1$  define the same prime end iff they define equivalent accesses, that is for any neighborhood  $\Delta$  of  $\gamma_0(1)$ , there is a continuous arc  $\alpha : [0, 1] \rightarrow U \cap \Delta$  with  $\alpha(0) \in \gamma_0$  and  $\alpha(1) \in \gamma_1$ . This argument was shown to Tan Lei by C. Petersen. For details, please refer [Go] or [Pe]. Denote by  $\hat{W}^{acc}$  the union of  $W$  with its accessible primes ends (i.e. defined by some class of paths  $[\gamma]$ ). Denote by  $\Gamma$  the finitely generated Fuchsian group  $Aut_W(D)$ , and  $\Lambda_\Gamma$  its limit set.

**Theorem 2.4** a) *The mapping  $\pi : D \rightarrow W$  extends to a topological covering from  $\overline{D} - \Lambda_\Gamma$  to  $\hat{W}$ , and  $\pi$  restricted to a connected component of  $\partial D - \Lambda_\Gamma$  is a covering over a connected component of  $\hat{W} - W$ .*

b)  *$\overline{W}$  is locally connected iff  $\hat{W} = \hat{W}^{acc}$ . In this case the identity mapping  $Id : W \rightarrow W$  extends to a continuous mapping from  $\hat{W}^{acc}$  to  $\overline{W}$ .*

The proof of this theorem is easy, by applying locally the classical result of Caratheodory for simply connected domains. For more details, please refer [Go] (the terminology there is slightly different).

Returning to our compact set  $\Omega$ . Denote by  $U$  the interior of  $\Omega$ . By construction  $U$  is finitely connected and  $\overline{U}$  is locally connected. For  $b \in P'$ , a suitable neighborhood of  $b$  would intersect  $U$  in  $q$  connected components, called interpetals, with  $q$  the number of petals of the flower  $F_b$ . The ideal closure  $\hat{U}$  of  $U$  can be identified to either

$$(\overline{U} - P') \cup \{(b, [\gamma]) \mid b \in P', [\gamma] \text{ an interpetal of } b\},$$

or  $\{(x, [\gamma]) \mid x \in \overline{U}, [\gamma] \text{ is an equivalence class of paths landing on } x\}$ , where a *path landing on*  $x$  is a continuous map  $\gamma : [0, 1] \rightarrow \overline{U}$ , with  $\gamma([0, 1[) \subset U$ , and  $\gamma(1) = x$ . Two pathes  $\gamma$  and  $\gamma'$  are *equivalent* if they define equivalent accesses of  $x$  in the above sense.

If  $\Omega$  does not contain critical points, we take  $p : D \rightarrow U$  a universal covering.

There are maybe three kind of critical points contained in  $\Omega$ : those who are mapped eventually out of  $\Omega$ , those who are eventually parabolic and those who are eventually repelling. By making a suitable choice of  $P'$  in the Proposition 2.3, one can get rid of the first kind of critical points in  $\Omega$ . But this simplification is not useful if there are other critical points in  $\Omega$ .

Anyway, in case that  $\Omega$  contains eventually periodic critical points, we will have to take at first a ramified covering of  $\Omega$  before taking universal covering. This is to help the construction of an inverse map of  $f$  in the sequel.

It is possible to define a function  $v : \Omega \rightarrow \mathbf{N}$  such that  $v|_{\Omega-C} = 1$ , and  $v(x)$  is a multiple of  $v(y) \cdot \deg_y(f)$  for each  $y \in f^{-1}(x)$  (cf. [DH]). Let  $v$  be the function with minimal possible values.

Let  $O$  be an open tubular neighborhood of  $\Omega$  and extend  $v$  to  $O - \Omega$  by  $v = 1$ . Let  $p_* : O^* \rightarrow O$  be a finitely branched covering with  $O^*$  connected such that the degree of ramification equals to  $v(x)$  for each point over  $x$ . Let  $U^*$  be a connected component of  $p_*^{-1}(U)$ . Then  $p_*$  extends to the ideal closure of  $U^*$  as a branched covering over  $\hat{U}$ . Since the universal cover of  $U^*$  is the unit disc, the boundary  $\partial U^*$  has finitely many connected components and the closure  $p_*^{-1}(\Omega)$  in  $O^*$  is locally connected, one can apply theorem 2.4 to  $U^*$  and then get two continuous mappings  $\pi : \overline{D} - \Lambda_\Gamma \rightarrow p_*^{-1}(\Omega)$  (it is also conformal in  $D$ ) and  $p_* \circ \pi : \overline{D} - \Lambda_\Gamma \rightarrow \Omega$  (where  $\Lambda_\Gamma$  is the limit set of  $\Gamma = \text{Aut}_{U^*} D$ ). Set  $p = p_* \circ \pi$ . We denote also by  $p$  the mapping to the ideal closure of  $U$ .

### Step 3. Lifting $f^{-1}$ in the covering space

By construction, the set  $f^{-1}(\Omega)$  is a proper subset of  $\Omega$  and  $f^{-1}(\Omega) \cap \partial\Omega = P'$ . When  $\Omega \cap C = \emptyset$ , the mapping  $f : f^{-1}(\Omega) \rightarrow \Omega$  is a non-ramified covering. The following proposition is easier in this case.

**Proposition 2.5** *Let  $(x_0, [\gamma]) \in \hat{U}$ . Take a point  $(y_0, [\gamma']) \in f^{-1}(x_0, [\gamma])$  (which means  $y_0 \in f^{-1}(x_0)$  and  $\gamma'$  is a curve equivalent to one branch of  $f^{-1} \circ \gamma$  landing at  $y_0$ ). Let  $\tilde{x}_0, \tilde{y}_0 \in \overline{D} - \Lambda_\Gamma$  such that  $p(\tilde{x}_0) = (x_0, [\gamma])$  and  $p(\tilde{y}_0) = (y_0, [\gamma'])$ . Then there is a unique continuous mapping  $g : \overline{D} - \Lambda_\Gamma \rightarrow \overline{D} - \Lambda_\Gamma$  such that  $g(\tilde{x}_0) = \tilde{y}_0$ ,  $f \circ p \circ g = p$ . Moreover,  $g|_D$  is holomorphic.*

*Proof.* We will define at first a finite set  $\mathcal{E}$  of function elements in  $p_*^{-1}(\Omega)$  such that any element of  $\mathcal{E}$  admits an analytic continuation along a curve in  $p_*^{-1}(\Omega)$ , within  $\mathcal{E}$ . We will follow the terminology in [Ru] and the idea indicated in [CG].

For any  $x \in \Omega - C$ , let  $B_x$  be a small open circular disc centered at  $x$  such that  $p_*$  (resp.  $f \circ p_*$ ) is a conformal homeomorphism restricted to every connected component  $G$  of  $p_*^{-1}(B_x)$  (resp. to every connected component  $H$  of  $(f \circ p_*)^{-1}(B_x)$ ). Define  $g_{G,H} : G \rightarrow H$  to be  $(f \circ p_*)^{-1} \circ p_*$ .

For  $x \in \Omega \cap C$ , let  $B_x$  be a small open circular disc centered at  $x$  such that the local coordinate of  $p_*$  restricted to every connected component  $G$  of  $p_*^{-1}(B_x)$  is  $z^{v(x)}$ , and that the local coordinate of  $f \circ p_*$  restricted to a connected component  $H$  of  $(f \circ p_*)^{-1}(B_x)$  is  $z^{v(y) \cdot \deg_y(f)}$ , where  $y$  is the unique element of  $f^{-1}(x) \cap p_*(H)$ . Since  $v(x)$  is a multiple of  $v(y) \cdot \deg_y(f)$ , there is a unique holomorphic mapping  $g_{G,H} : G \rightarrow H$  having local coordinate  $z^{v(x)/(v(y) \cdot \deg_y(f))}$ .

Now choose a finite collection of  $B_x$ 's for  $x \in \Omega$  such that they make an open cover of  $\Omega$ . Pull-back the discs by  $p_*$ , we get an open cover  $\mathcal{G}$  of  $p_*^{-1}(\Omega)$ . Denote by  $\mathcal{E}$  the set of holomorphic function elements  $(G, g_{G,H})$  for all  $G \in \mathcal{G}$  and all (finitely many) possible  $H$  such that  $g_{G,H}$  is defined. By construction, the set  $G_1 \cap G_2$  is connected for any pair  $G_1, G_2 \in \mathcal{G}$ . This guarantees the existence of analytic continuation of any  $(G, g_{G,H})$  along a curve  $\eta$  in  $p_*^{-1}(\Omega)$ , among elements of  $\mathcal{E}$ . Moreover, the image end point of  $\eta$  under the continuation depends only on the homotopy class of  $\eta$ .

Any  $g_{G,H}$  maps  $G \cap p_*^{-1}(\Omega)$  into  $H \cap p_*^{-1}(\Omega)$ , and maps equivalent paths in  $G \cap p_*^{-1}(\Omega)$  to equivalent paths in  $H \cap p_*^{-1}(\Omega)$ . It maps then the ideal closure of  $G \cap p_*^{-1}(\Omega)$  into the ideal closure of  $p_*^{-1}(\Omega)$ .

Return to the situation of our proposition. There are components  $G, H$  containing  $\pi(\tilde{x}_0)$  and  $\pi(\tilde{y}_0)$  respectively. At first define  $g : \overline{D} - \Lambda_\Gamma \rightarrow \overline{D} - \Lambda_\Gamma$  in a neighborhood of  $\tilde{x}_0$  to be the unique lifting of  $g_{G,H}$  restricted to  $G \cap p_*^{-1}(\Omega)$  such that  $g(\tilde{x}_0) = \tilde{y}_0$ . For any  $\tilde{x} \in \overline{D} - \Lambda_\Gamma$  we take a path  $\tilde{\eta} : [0, 1] \rightarrow \overline{D} - \Lambda_\Gamma$  with  $\tilde{\eta}(0) = \tilde{x}_0$  and  $\tilde{\eta}(1) = \tilde{x}$ . Now an analytic continuation of  $g_{G,H}$  along  $\pi \circ \tilde{\eta}$  maps  $\tilde{\eta}$  to a path with initial point  $\pi(\tilde{y}_0)$ . Lift this path to  $\overline{D} - \Lambda_\Gamma$  with initial point  $\tilde{y}_0$  and define  $g(x)$  to be its end point. It is then easy to check that  $g(x)$  does not depend on either the choice of  $\tilde{\eta}$  nor the analytic continuation. So  $g$  is a well defined continuous map. And  $g|_D$  is automatically holomorphic.

Now suppose that  $g_1 : \overline{D} - \Lambda_\Gamma \rightarrow \overline{D} - \Lambda_\Gamma$  is another continuous mapping such that  $f \circ p \circ g_1 = p$ . One can easily check that the set  $\{x \in \overline{D} - \Lambda_\Gamma \mid g_1(x) = g(x)\}$  is both open and closed. So either the set is empty or  $g_1 \equiv g$ . This proves the uniqueness. ■

#### Step 4. Construction of a metric

In the following, we take  $P' = P$ . Let  $\Omega$  be the compact set constructed in proposition 2.3 and  $U = \text{int}(\Omega)$ .

Denote by  $\lambda_D$  the Poincaré metric of  $D$  and  $\lambda_1(z) = \rho_1(z)|dz|$  the metric of  $U - C$  induced by  $\lambda_D$ .

In a small neighborhood  $N(a)$  of  $a \in C$ ,  $m_a \leq \rho_1(z) \leq \frac{c_a}{|z-a|^{\beta_a}}$ , where  $m_a > 0, 0 < c_a < \infty, \beta_a = \frac{v(a)-1}{v(a)}$ . Then  $m_a|z-a| \leq d(z, a) \leq \frac{c_a}{1-\beta_a}|z-a|^{1-\beta_a}$  for  $z \in N(a)$ . Hence for any rectifiable curve  $\gamma : [0, 1] \rightarrow \Omega'$  such that  $\gamma([0, 1]) \subset U$ ,  $\text{length}_\lambda(\gamma) < \infty$

For each  $a \in P$ , one can find a disc-like neighborhood  $\Delta_a$  and a homeomorphism  $\zeta_a : \Delta_a \rightarrow D_{r_a}$  such that  $f : \Delta_a \rightarrow \Delta_{f(a)}$  in the new coordinates becomes:

$$\zeta \mapsto \lambda(\zeta + b_a \zeta^{q_a+1} + \dots),$$

with  $b_a > 0$ ,  $q_a$  equals to the number of petals attached to  $a$ , and  $\lambda^{q_a} = 1$ .

One can choose  $\Delta_a$  small enough such that  $U \cap \Delta_a$  is contained in the union of interpetals, and  $|f'_{U \cap \Delta_a}| > 1$ . Moreover,  $f(U \cap \Delta_a) \supset U \cap \Delta_{f(a)}$ ,  $f(\overline{\Delta}_a) \cap \overline{\Delta}_b = \emptyset$  for  $b \neq f(a)$ .

For  $a \in P - f(C)$ , denote by  $\lambda_a(z) = \rho_a(z)|dz|$  the metric  $|d\zeta_a|$  on  $\Delta_a$ .

For  $a \in P \cap f(C)$ , denote by  $\lambda_a(z) = \rho_a(z)|dz| = \frac{|dz|}{|z-a|^{\beta_a}}$  the metric on  $\Delta_a$ , where  $\beta_a = \frac{v(a)-1}{v(a)}$ .

For  $M \in \mathbf{R}_+$  large define a riemannian metric  $\lambda(z) = \rho(z)|dz|$  on  $U \cup \bigcup_{a \in P} \Delta_a - f(C)$ , where  $\rho(z) = \text{inf}(\rho_1(z), M \cdot \rho_a(z))$ . The function  $\rho$  restricted to  $U - (\bigcup_{a \in P} \partial \Delta_a \cup f(C))$  is continuous.

**Proposition 2.6** *Take the compact set  $\Omega' = f^{-1}(\Omega) \subset U \cup P$ . When  $M$  is large enough,  $f$  is expanding for  $\lambda$  on  $\Omega'$  in the sense that  $\text{length}(f \circ \gamma) > \text{length}(\gamma)$  for all  $C^1$ -smooth curve  $\gamma \subset \Omega'$  (this makes sense even though  $f \circ \gamma([0, 1]) \cap \partial \Omega \neq \emptyset$ ).*

*Proof.* For  $a \in P$ ,  $f^{-1}(\Omega \cap \Delta_a)$  has the form  $L'_a \cup L''_a$ , where  $L'_a \subset \Omega' \cap (\bigcup_{a' \in f^{-1}(a) \cap P} \Delta_{a'})$  and  $L''_a$  is a relatively compact subset of  $U$ .

Put  $m_a = \inf\{\frac{\rho_a(f(z))|f'(z)|}{\rho_1(z)} \mid z \in L''_a - C\}$ , it is easy to check that  $m_a > 0$  by calculating the order of singularity at  $a$  for each involved function. Choose  $M$  such that

$$M \cdot \inf\{m_a \mid a \in P\} > 1.$$

It is sufficient to prove:

$$\rho(f(z))|f'(z)| > \rho(z) \quad (1)$$

for  $z \in \Omega' - (P \cup f^{-1}(f(C)))$ .

There are four possibilities:

a)  $\rho(z) = \rho_1(z)$  and  $\rho(f(z)) = \rho_1(f(z))$ . Since the mapping  $f : f^{-1}(U) - f^{-1}(f(C)) \rightarrow U - f(C)$  is strictly expanding for  $\lambda_1$ , (1) holds.

b)  $\rho(z) = M \cdot \rho_a(z) \leq \rho_1(z)$  and  $\rho(f(z)) = \rho_1(f(z))$ . By a), (1) holds.

c)  $\rho(z) = M \cdot \rho_a(z)$  and  $\rho(f(z)) = M \cdot \rho_{f(a)}(f(z))$ . From the definition of  $\lambda_a$ , (1) holds.

d)  $\rho(z) = \rho_1(z)$  and  $\rho(f(z)) = M \cdot \rho_{f(a)}(f(z))$ . If  $z \in L'_{f(a)} - C$ , we have  $\rho_1(z) \leq M \cdot \rho_a(z)$ . From c), (1) holds. If  $z \in L''_{f(a)} - f^{-1}(f(C))$ , (1) holds from the condition  $M \cdot m_{f(a)} > 1$ . ■

### Step 5. A continuous modulus for the liftings

Let  $\tilde{\lambda}$  be the lifting of  $\lambda$  on  $p^{-1}(U \cup P - f(C))$ , then  $\tilde{\lambda}(z) = \lambda_D(z)$  for  $z \in p^{-1}(U - (f(C) \cup \bigcup_{a \in P} \Delta_a))$ . Hence for any rectifiable curve  $\tilde{\gamma} : [0, 1] \rightarrow p^{-1}(\Omega')$  such that  $\tilde{\gamma}([0, 1]) \subset D$ ,  $length_{\tilde{\lambda}}(\tilde{\gamma}) < \infty$ .

For any points  $x, y \in p^{-1}(\Omega')$ , define the distance

$$\tilde{d}(x, y) = \inf\{length_{\tilde{\lambda}}(\tilde{\gamma}) \mid \tilde{\gamma} : [0, 1] \rightarrow p^{-1}(\Omega') \text{ is a rectifiable curve, } \tilde{\gamma}(0) = x, \tilde{\gamma}(1) = y\},$$

then  $(p^{-1}(\Omega'), \tilde{d})$  is a complete metric space.

Denote  $\Gamma = Aut_{U^*}(D)$ . It acts as isometry for  $\tilde{d}$  on  $p^{-1}(\Omega')$ .

**Lemma 2.7** *If  $g \circ \sigma(x) = \delta \circ g(x)$  for some non-ramified point  $x \in p^{-1}(\Omega')$  and  $\sigma, \delta \in \Gamma$ , then  $g \circ \sigma = \delta \circ g$ .*

*Proof.* For any non-ramified point  $y \in p^{-1}(\Omega')$ , there is a path  $\gamma : [0, 1] \rightarrow p^{-1}(\Omega')$  connecting  $x$  and  $y$  such that  $p \circ \gamma(t) = p \circ \sigma \circ \gamma(t) \in \Omega' - C$  for  $t \in [0, 1]$ .

The two paths  $p \circ g \circ \gamma$  and  $p \circ g \circ \sigma \circ \gamma$  have the same initial point  $p \circ g(x)$ ,  $f \circ p \circ g \circ \gamma(t) = f \circ p \circ g \circ \sigma \circ \gamma(t) = p \circ \gamma(t)$ . Define  $T = \{t \in [0, 1] \mid g \circ \sigma \circ \gamma(t) = \delta \circ g \circ \gamma(t)\}$ ,  $T$  is a closed set and  $0 \in T$ . If  $t_0 \in T$ , since  $f$  is local injective, there is a neighborhood  $V_0$  of  $t_0$  such that  $p \circ g \circ \sigma \circ \gamma(t) = p \circ \delta \circ g \circ \gamma(t)$  for  $t \in V_0$ ,  $g \circ \sigma \circ \gamma(t) = \eta_t \circ \delta \circ g \circ \gamma(t)$ , where  $\eta_t \in \Gamma$ . Since  $\Gamma$  is a discrete group, hence  $\eta_t = \eta_{t_0} = id.$  for  $t \in V_0$ . This proves  $T$  is an open set, then  $T = [0, 1]$  and  $g \circ \sigma(y) = \delta \circ g(y)$ . Since  $g \circ \sigma$  and  $\delta \circ g$  are continuous mappings, hence  $g \circ \sigma = \delta \circ g$ . ■

**Proposition 2.8** *There is an increasing right semi-continuous mapping  $h : \mathbf{R}_+ \rightarrow \mathbf{R}_+$  with the properties  $h(s) < s$  for  $s > 0$  and  $s - h(s) \rightarrow \infty$ , such that*

$$\tilde{d}(g(x), g(y)) \leq h(\tilde{d}(x, y)) ,$$

for all  $(x, y) \in p^{-1}(\Omega') \times p^{-1}(\Omega')$ , and any lifting  $g$  of  $f^{-1}$  defined by proposition 2.5.

*Proof.* Fix a non-ramified point  $x_0 \in p^{-1}(\Omega')$ ,  $f^{-1}(p(x_0))$  has  $d$  distinct points. We take  $\{x_1, x_2, \dots, x_d\} \subset p^{-1}(\Omega')$  such that  $\{p \circ g(x_i) \mid 1 \leq i \leq d\} = f^{-1}(p(x_0))$ , then  $f \circ p \circ g(x_i) = p(x_i) = p(x_0)$ . There is  $\sigma_i \in \Gamma$  such that  $x_i = \sigma_i(x_0)$ ,  $1 \leq i \leq d$ .

Suppose  $F \subset p^{-1}(\Omega')$  is a compact subset such that  $p^{-1}(\Omega') = \cup_{\sigma \in \Gamma} \sigma(F)$ . Put  $F_1 = \cup\{\sigma_i(F) \mid 1 \leq i \leq d\}$ , it is a compact subset of  $p^{-1}(\Omega')$ . For  $s > 0$ , denote  $B(F_1, s) = \{x \in p^{-1}(\Omega') \mid \tilde{d}(x, F_1) \leq s\}$ , it is again a compact subset of  $p^{-1}(\Omega')$ .

Define  $h_g(s)$  as following

$$h_g(s) = \sup\{\tilde{d}(g(x), g(y)) \mid (x, y) \in p^{-1}(\Omega') \times p^{-1}(\Omega'), \tilde{d}(x, y) \leq s\} .$$

For a non-ramified point  $x \in F$ ,  $\{p \circ g \circ \delta(x) \mid \delta \in \Gamma\} = f^{-1}(p(x))$ . There is  $\sigma_{i(\delta)}$  such that  $p \circ g \circ \delta(x) = p \circ g \circ \sigma_{i(\delta)}(x)$ . Then  $g \circ \delta(x) = \eta(\delta) \circ g \circ \sigma_{i(\delta)}(x)$  for some  $\eta(\delta) \in \Gamma$ . From lemma 2.7,  $g \circ \delta = \eta(\delta) \circ g \circ \sigma_{i(\delta)}$ . Hence  $\tilde{d}(g(\delta(x)), g(\delta(y))) = \tilde{d}(g \circ \sigma_{i(\delta)}(x), g \circ \sigma_{i(\delta)}(y))$ . So

$$h_g(s) = \sup\{\tilde{d}(g(x), g(y)) \mid x \in F_1, y \in B(F_1, s), \tilde{d}(x, y) \leq s\} .$$

According to proposition 2.6, a lifting  $g$  is strictly contracting with respect to  $\tilde{d}$ , that is  $\tilde{d}(g(x), g(y)) < \tilde{d}(x, y)$ . Since  $g$  is a continuous mapping, we have  $h_g(s) < s$ .

It is easy to check that  $h_g(s)$  is right semi-continuous, that is  $\lim_{\varepsilon \rightarrow 0^+} h_g(s + \varepsilon) = h_g(s)$ . Let  $s_1$  and  $s_2$  be any two positive numbers. For any points  $x, y \in p^{-1}(\Omega')$  satisfying  $\tilde{d}(x, y) \leq s_1 + s_2$  and any positive  $\varepsilon$ , there exists a path  $\gamma_\varepsilon \subset p^{-1}(\Omega')$  connecting  $x$  and  $y$  such that  $\text{length}(\gamma_\varepsilon) \leq s_1 + s_2 + \varepsilon$ . Take a point  $x' \in \gamma_\varepsilon$  such that  $\tilde{d}(x, x') \leq s_1 + \varepsilon/2$ ,  $\tilde{d}(x', y) \leq s_2 + \varepsilon/2$ . Then  $\tilde{d}(g(x), g(y)) \leq \tilde{d}(g(x), g(x')) + \tilde{d}(g(x'), g(y)) \leq h_g(s_1 + \varepsilon/2) + h_g(s_2 + \varepsilon/2)$ , that is  $h_g(s_1 + s_2) \leq h_g(s_1 + \varepsilon/2) + h_g(s_2 + \varepsilon/2)$ . Hence  $h_g(s_1 + s_2) \leq h_g(s_1) + h_g(s_2)$ . This implies that  $s - h_g(s)$  is increasing and  $h_g(ks_0) \leq k \cdot h_g(s_0)$  for any integer  $k > 0$  and a fixed positive value  $s_0$ . This results that  $s - h_g(s) \rightarrow \infty$  as  $s \rightarrow \infty$ .

Fixed a non-ramified point  $y_0 \in p^{-1}(\Omega')$ ,  $f^{-1}(p(y_0))$  has  $d$  distinct points. We take  $\{y_i \mid 1 \leq i \leq d\} \subset p^{-1}(\Omega')$  such that  $\{p(y_i) \mid 1 \leq i \leq d\} = f^{-1}(p(y_0))$ . Let  $g_i$  be the unique lifting of  $f^{-1}$  such that  $g_i(y_0) = y_i$  for  $1 \leq i \leq d$ . Take any lifting  $g$  of  $f^{-1}$ , we have  $p \circ g(y_0) \in \{p(y_i) \mid 1 \leq i \leq d\}$ . There exists  $\delta_g \in \Gamma$  such that  $g(y_0) = \delta_g(y_{i(g)}) = \delta_g \circ g_{i(g)}(y_0)$ . So  $g = \delta_g \circ g_{i(g)}$ .

Now define

$$h(s) = \sup\{\tilde{d}(g(x), g(y)) \mid (x, y) \in p^{-1}(\Omega') \times p^{-1}(\Omega'), \tilde{d}(x, y) \leq s, g \text{ a lifting of } f^{-1}\}$$

Then  $h(s) = \sup\{h_g(s) \mid g \text{ a lifting of } f^{-1}\} = \sup\{h_{g_i}(s) \mid 1 \leq i \leq d\}$  is the needed mapping. ■



### Step 6. Convergence

From Sullivan's classification theorem, each periodic Fatou component of  $f$  is attracting or parabolic.

Let  $V$  be a parabolic periodic component with the parabolic periodic point  $a$  on its boundary,  $h$  be the increasing mapping constructed in Step 5 and  $k$  be the period of  $V$ .

Note that  $\Omega \cap V$  contains no point of the critical set  $C$ . Let  $\gamma_0 : [0, 1] \rightarrow (\partial\Omega \cap V) \cup \{a\}$  be a  $C^1$ -smooth parametrization such that  $\gamma_0(0) = \gamma_0(1) = a$ . Let  $\tilde{\gamma}_0$  be a lifting of  $\gamma_0$  in  $\overline{D} - \Lambda_\Gamma$ . Set  $\tilde{a} = \tilde{\gamma}_0(0)$ ,  $\tilde{b} = \tilde{\gamma}_0(1)$ . We have  $\tilde{a}, \tilde{b} \in p^{-1}(a)$ .

Define a sequence of paths  $\{\gamma_n(t) : [0, 1] \rightarrow \Omega' \cap \overline{V}\}$  satisfying  $f^k(\gamma_{n+1}(t)) = \gamma_n(d_1 t)$ , where  $d_1 = \deg(f^k|_V)$ . These conditions determine uniquely  $\gamma_n$ . We want to lift  $\gamma_n$  by  $p$  to some path homotopic to  $\tilde{\gamma}_0$ . There might be some ambiguity at points in  $\gamma_n(]0, 1[) \cap f(C)$ , which could be taken away as follows: each  $\gamma_n$  is in the closure  $\overline{\mathcal{B}}$  (with the topology of uniform convergence) of  $\mathcal{B}$ , which is by definition the set of curves:  $\beta : [0, 1] \rightarrow (\Omega \cap V) \cup \{a\}$  continuous,  $\beta(0) = \beta(1) = a$ ,  $\beta$  is homotopic to  $\gamma_0$  in  $(\Omega \cap V) \cup \{a\}$ . Since  $f(C)$  is disjoint from  $\Omega \cap V$ , each curve  $\beta \in \mathcal{B}$  has a unique lifting  $\tilde{\beta}$  with initial point  $\tilde{a}$ , with end point  $\tilde{b}$ . Now for  $\gamma \in \overline{\mathcal{B}}$ , we define  $\tilde{\gamma}$  as the uniform limit of liftings of curves in  $\mathcal{B}$  converging to  $\gamma$ . By continuity,  $\tilde{\gamma}(0) = \tilde{a}$ ,  $\tilde{\gamma}(1) = \tilde{b}$ , and  $p(\tilde{\gamma}(t)) = \gamma(t)$ .

Let  $G$  be the composition of  $k$  liftings of  $f^{-1}$  such that  $f^k \circ p \circ G = p$  and  $G(\tilde{a}) = \tilde{a}$ . For  $t = \frac{i+s}{d_1}$ ,  $s \in [0, 1]$ ,  $0 \leq i < d_1 - 1$ , we have  $f^k \circ \gamma_{n+1}(t) = \gamma_n(s)$ . So  $G(\tilde{\gamma}_n(s)) = \tilde{\gamma}_{n+1}(s/d_1)$ . By induction on  $i$ , there is  $\delta_i \in \Gamma$  independent of  $n$  and  $s$  such that  $G(\delta_i \circ \tilde{\gamma}_n(s)) = \tilde{\gamma}_{n+1}((i+s)/d_1)$ . Now define a distance in  $\overline{\mathcal{B}}$  as well as in its lifting space by:

$$d(\gamma, \gamma') = d(\tilde{\gamma}, \tilde{\gamma}') = \sup\{\tilde{d}(\tilde{\gamma}(t), \tilde{\gamma}'(t)) \mid t \in [0, 1]\}.$$

Then  $d(\gamma_{m+1}, \gamma_{n+1}) \leq h^k(d(\gamma_m, \gamma_n))$ .

There is a positive number  $L$  such that  $L - h(L) \geq d(\gamma_0, \gamma_1)$ . Since  $d(\gamma_0, \gamma_n) \leq d(\gamma_0, \gamma_1) + h(d(\gamma_0, \gamma_{n-1}))$ , by induction  $d(\gamma_0, \gamma_n) \leq L$  for any  $n \geq 1$ .

For any  $l$  and  $m$ , we have  $d(\gamma_l, \gamma_{l+m}) \leq h^{kl}(d(\gamma_0, \gamma_m)) \leq h^{kl}(L)$ . Since  $\lim_{l \rightarrow \infty} h^{kl}(L) = 0$ ,  $\tilde{\gamma}_n(t)$  converges uniformly to  $\tilde{\gamma}_\infty(t)$  for the metric  $\tilde{d}$ . Denote  $\gamma_\infty(t) = p \circ \tilde{\gamma}_\infty(t)$ , then  $\gamma_n(t)$  converges uniformly to  $\gamma_\infty(t)$  for  $\lambda$ , hence also for the euclidean metric, because the two metrics define the same topology, and  $\Omega'$  is compact. The image  $\gamma_\infty([0, 1])$  is the boundary of  $V$ . This proves that the boundary of  $V$  is locally connected.

This ends the proof that each parabolic periodic component has locally connected boundary. It remains to show the same for each attracting periodic component  $V$ . The method is analogue. We can either copy word by word Douady-Hubbard's proof in the polynomial case ([DH]), or proceed as follows: take an access  $\gamma$  from  $V$  to a fixed (or periodic) point  $a$  on the boundary. Choose an inverse mapping  $g$  such that it preserves  $a$  and the access. Take an equipotential  $Q$  of  $V$  close to the boundary. Reparametrize the set  $Q \cup \gamma$  so that it is the image of some continuous mapping  $\gamma_0 : [0, 1] \rightarrow U$ , with  $\gamma_0(0) = \gamma_0(1) = a$ . Then a sequence of liftings of  $\gamma_0$  by  $f$  will converge uniformly to  $\partial V$ . We omit the details here.

This ends the proof of Theorem 2.1.

### 3 Proof of theorem A and corollary B

For geometrically finite rational map with  $J \neq \overline{\mathbb{C}}$ , we use the expanding metric  $\lambda$  to show that each Fatou component eventually land on a parabolic or attracting basin, and the diameter tends to zero.

For any Fatou component  $V_0 \subset \Omega$ ,  $V_n = f^n(V_0)$ . Assume that  $V_n \subset \Omega$  for all  $n \geq 0$ . Let  $\tilde{V}_n$  be the lifting of  $V_n$  contained in a compact subset of  $p^{-1}(\Omega')$ , then  $diam_{\tilde{d}}(\tilde{V}_0) \leq h^n(diam_{\tilde{d}}(\tilde{V}_n))$ . Since  $diam_{\tilde{d}}(\tilde{V}_n) \leq M$  for some positive  $M$ , then  $diam_{\tilde{d}}(\tilde{V}_0) = 0$ . It is impossible. Then each Fatou component is preperiodic and there are only finitely many periodic Fatou components.

Let  $V_0$  be a Fatou component and  $V_n$  be a connected component of  $f^{-n}(V_0)$ . Denote  $\tilde{V}_n$  the lifting of  $V_n$  ( $n \geq 0$ ) contained in a compact subset of  $p^{-1}(\Omega')$ . By proposition 2.8,  $diam_{\tilde{d}}(\tilde{V}_n) \leq h^n(diam_{\tilde{d}}(\tilde{V}_0)) \rightarrow 0$  as  $n \rightarrow \infty$ . Hence  $diam_{\lambda}(V_n) = diam_{\lambda}(p(\tilde{V}_n)) \rightarrow 0$  and  $diam(V_n) \rightarrow 0$  in the standard metric. This proves that the diameter of Fatou components tends to zero. By theorem 2.1 and Torhost's theorem ([Wh]), the Julia set  $J$  is locally connected. We complete the proof of theorem A.

**Lemma 3.1** *Let  $f : \overline{\mathbb{C}} \rightarrow \overline{\mathbb{C}}$  be a rational map with non-connected Julia set  $J$  and  $K$  be a connected component of  $J$ . Then  $f(K)$  is a connected component of  $J$ .*

*Proof.* The set  $f(K)$  is connected and compact,  $f(K) \subset J$ . Suppose  $E$  is a connected component of  $J$  containing  $f(K)$ . By a lemma in [Be],  $f^{-1}(E)$  consists of finitely many connected components and each is mapped by  $f$  onto  $E$ . Let  $E'$  be a connected component of  $f^{-1}(E)$  containing  $K$ , then  $E' = K$ . Hence  $f(K) = E$  is a connected component of  $J$ . ■

Let  $f(z), g(z)$  be two rational maps, not necessarily of the same degree. Let  $F, G$  be closed subsets of  $\overline{\mathbb{C}}$  such that  $f(F) = F, g(G) = G$ . A map  $\phi : \overline{\mathbb{C}} \rightarrow \overline{\mathbb{C}}$  determines a stable conjugacy  $(F, f) \sim (G, g)$  if

- (1)  $\phi$  is quasiconformal on  $\overline{\mathbb{C}}$ ;
- (2)  $\phi(F) = G$ ; and
- (3)  $\phi \circ f(z) = g \circ \phi(z)$  for all  $z \in F$ .

Let  $K$  be a connected component of  $J$  and  $f(K) = K$ .

**Proposition 3.2** ([Mc1]) *There is a stable conjugacy  $(K, f) \sim (J(g), g)$ , where  $g(z)$  is a rational map with connected Julia set  $J(g)$ .*

For a geometrically finite rational map  $f(z)$ ,  $K$  is a connected component of  $J$  and  $f(K) = K$ , there is a stable conjugacy  $\phi : \overline{\mathbb{C}} \rightarrow \overline{\mathbb{C}}$  such that  $\phi(K) = J(g)$  for a rational map  $g(z)$  with connected Julia set  $J(g)$ . If  $z_0 \in J(g)$  is a critical point of  $g(z)$ , then  $\phi^{-1}(z_0) \in K$  is a critical point of  $f(z)$ . Hence  $g(z)$  is a geometrically finite rational map. By theorem A,  $J(g)$  is locally connected, hence  $K$  is locally connected. From Lemma 3.1, each eventually periodic connected component of  $J$  is locally connected. This ends the proof of corollary B.

**Proposition 3.3** *If  $V$  is a simply connected invariant Fatou component with locally connected boundary, then every periodic point on its boundary has at most finitely many accesses from  $V$ .*

*Proof.* If  $V$  is a Siegel disk, the boundary of  $V$  has no periodic point.

If  $V$  is a parabolic basin with a parabolic fixed point  $a$  on the boundary of  $V$ , take a simply connected domain  $W \subset V$  such that  $\overline{W} \cap \partial V = \{a\}$ ,  $f^{-1}(W) \cup \{a\} \supset \overline{W}$  and  $V - \overline{W}$  contains no critical point of  $f$ , then there is a quasiconformal mapping  $\varphi : V - \overline{W} \rightarrow D_1$  such that  $\varphi \circ f(z) = P_k \circ \varphi(z)$ , where  $P_k(z) = \frac{z^k + c}{1 + cz^k}$ ,  $k = \deg(f|_V) > 1$ ,  $c = \frac{k-1}{k+1}$ , [Mc]. The mapping  $\varphi^{-1} = \psi$  can be extended continuously to  $\partial D_1 = S^1$ . The mapping  $P_k : S^1 \rightarrow S^1$  is a covering with degree  $k$ . By Shub's theorem [Sh], there exists a monotone and surjective mapping  $R : S^1 \rightarrow S^1$  such that  $R \circ P_k(z) = (R(z))^k$ . The Julia set  $J(P_k) = S^1$  implies that  $R$  is a homeomorphism. For any fixed point  $\alpha \in \partial V$ ,  $f$  is locally injective in a neighborhood of  $\alpha$ . Hence  $P_k : \psi^{-1}(\alpha) \rightarrow \psi^{-1}(\alpha)$  is injective and  $\psi^{-1}(\alpha) \subset S^1$  is a compact set. So  $g_0 : R(\psi^{-1}(\alpha)) \rightarrow R(\psi^{-1}(\alpha))$  is injective and  $R(\psi^{-1}(\alpha)) \subset S^1$  is a compact set, where  $g_0(z) = z^d : S^1 \rightarrow S^1$ . By a lemma of Douady,  $R(\psi^{-1}(\alpha))$  is a finite set. Hence  $\psi^{-1}(\alpha)$  is also a finite set. There are at most finitely many accesses from  $V$  landing at  $\alpha$ . Therefore, every periodic point on  $\partial V$  has at most finitely many accesses from  $V$ .

Carrying out the same discussion if  $V$  is an attracting(superattracting) basin. We complete the proof of this proposition. ■

Remark. The above result can be also obtained from works of Ch. Pommerenke ([Po]) and C. Petersen ([Pe]).

**Corollary 3.4** *Let  $f$  be a rational mapping with connected Julia set and every Fatou component has locally connected boundary. Then there are at most finitely many Fatou components attaching at any preperiodic point of  $f$ .*

*Proof.* It is straightly from proposition 3.3 and the finiteness of periodic Fatou components. ■

Combine corollary 3.4 and proposition A.3 in appendix, we have another proof of theorem A.

## A Appendix (written by Yin Yongcheng)

**Lemma A.1** *Let  $B$  be an open topological disk and  $B \cap cl(P_f) = \emptyset$ ,  $\{f_i^{-n} \mid 1 \leq i \leq d^n\}$  be the set of branches of  $f^{-n}$  on  $B$ . Then  $\mathcal{F} = \{f_i^{-n} : B \rightarrow \overline{\mathbf{C}} \mid 1 \leq i \leq d^n, n \geq 1\}$  is a normal family.*

*Proof.* For any  $n$  and  $i$ ,  $f_i^{-n}(B) \cap cl(P_f) = \emptyset$ .

If  $\#(cl(P_f)) \geq 3$ , Montel's theorem implies that  $\mathcal{F}$  is a normal family.

If  $\#(cl(P_f)) = 2$ , there is an open neighborhood  $B_1$  of  $cl(P_f)$  such that  $B_1 \cap B = \emptyset$  and  $f(B_1) \subset B_1$ . Then  $f_i^{-n}(B) \cap B_1 = \emptyset$  for any  $n$  and  $i$ . The family  $\mathcal{F}$  is normal. ■

**Lemma A.2** *Let  $V$  be an open set intersecting with  $J$  and  $K$  be a compact set containing no exceptional point. Then there is an integer  $N$  such that  $f^n(V) \supset K$  for  $n \geq N$ .*

*Proof.* Let  $z_0 \in V \cap J$  be a repelling periodic point with period  $k$ . Choose  $V_1 \subset V$  be an open neighborhood of  $z_0$  such that  $f^k(V_1) \supset V_1$ , then  $f^{ik}(V_1) \supset f^{(i-1)k}(V_1)$  for any  $i \geq 1$ . Denote  $E$  the exceptional set of  $f$ ,  $\#(E) \leq 2$ . Let  $V(E)$  be an open neighborhood of  $E$  satisfying  $f(V(E)) \subset V(E)$  and  $V(E) \cap K = \emptyset$ ,  $V(E) = \emptyset$  if  $E = \emptyset$ , then  $\cup_{i \geq 0} f^{ik}(V_1) \supset \overline{\mathbb{C}} - E \supset \overline{\mathbb{C}} - V(E)$ . There is  $i_0$  such that  $f^{i_0 k}(V_1) \supset \overline{\mathbb{C}} - V(E)$ . Take  $N = i_0 k$ , then  $f^n(V_1) \supset \overline{\mathbb{C}} - V(E)$  for any  $n \geq N$ . Hence  $f^n(V) \supset K$  for any  $n \geq N$ . ■

**Proposition A.3** *Let  $\overline{D}$  be a topological disk satisfying  $\overline{D} \cap cl(P_f) = \emptyset$ . Then either (a) For any  $\varepsilon > 0$ , there exists  $N$  such that  $diam(K_n) < \varepsilon$  for any connected component  $K_n$  of  $f^{-n}(\overline{D})$  and  $n \geq N$ ; or (b)  $\overline{D}$  is contained in a rotation domain.*

*Proof.* Choose a topological disk  $\overline{B}$  such that  $\overline{D} \subset B$  and  $\overline{B} \cap cl(P_f) = \emptyset$ . Let  $\{f_i^{-n} : B \rightarrow \overline{\mathbb{C}}\}$  be the set branches of  $f^{-n}$  on  $B$ .

If (a) is false, then there are  $\{n_k\}_{k \geq 1}$  and  $i(n_k)$  such that  $diam(f_{i(n_k)}^{-n_k}(\overline{D})) \geq \varepsilon_0$  for some positive  $\varepsilon_0$ .

The mapping  $g_k = f_{i(n_k)}^{-n_k} : B \rightarrow \overline{\mathbb{C}}$  is conformal. By lemma A.1, there is a subsequence  $\{k_j\}_{j \geq 1}$  such that  $g_{k_j} \rightarrow g$  uniformly in any compact subset of  $B$ . Since  $diam(f_{i(n_k)}^{-n_k}(\overline{D})) \geq \varepsilon_0$ ,  $g : B \rightarrow \overline{\mathbb{C}}$  is a conformal mapping. Take  $x_0 \in D$ ,  $y_0 = g(x_0)$ , there exists  $\delta_0 > 0$  such that  $D(y_0, \delta_0) = \{z \mid d(z, y_0) < \delta_0\} \subset g_{k_j}(D)$  for large  $j$ . Then  $f^{n_{k_j}}(D(y_0, \delta_0)) \subset D$ . From lemma A.2,  $D(y_0, \delta_0) \cap J = \emptyset$ . There is a Fatou component  $W$  containing  $D(y_0, \delta_0)$ . Suppose  $f^q(W)$  is periodic for some  $q \geq 0$ . There exists a subsequence  $\{m_i\}$  of  $\{n_{k_j}\}$  such that  $f^{m_i}(D(y_0, \delta_0)) \subset f^{q+l}(W)$  for some  $l \geq 0$ ,  $f^{q+l}(W)$  is a periodic Fatou component. If  $f^{q+l}(W)$  is attracting or parabolic, then  $f^{m_i}(z) \rightarrow z_0 \in \overline{f^{q+l}(W)}$  for any  $z \in D(y_0, \delta_0)$ , where  $z_0$  is the attracting or parabolic periodic point,  $z_0 \in cl(P_f)$ . From  $f^{m_i}(D(y_0, \delta_0)) \subset D$ ,  $z_0 \in \overline{D}$ . This contradicts with  $\overline{D} \cap cl(P_f) = \emptyset$ . Hence  $f^{q+l}(W)$  is a rotation domain and  $f^{q+l}(W) \cap D \neq \emptyset$ . Since  $\partial f^{q+l}(W) \subset cl(P_f)$  and  $\overline{D} \cap cl(P_f) = \emptyset$ , therefore  $\overline{D}$  is contained in the periodic rotation domain  $f^{q+l}(W)$ . ■

**Corollary A.4** *Let  $K$  be a connected compact set and  $K \cap cl(P_f) = \emptyset$ . If  $f^n$  maps  $K_n$  onto  $K$  by homeomorphism for  $n \geq 1$ , then either (a)  $diam(K_n) \rightarrow 0$  as  $n \rightarrow \infty$ ; or (b)  $K$  is contained in a periodic rotation domain.*

*Proof.* Choose finitely many open topological disks  $D_1, \dots, D_L$  such that  $\{D_i \mid 1 \leq i \leq L\}$  cover  $K$  and  $\overline{D}_i \cap cl(P_f) = \emptyset$  for  $1 \leq i \leq L$ . From above proposition, we get the corollary. ■

The following two corollaries are suggested by C. Petersen and Tan Lei.

A simple closed curve in  $\overline{\mathbb{C}} - cl(P_f)$  is *peripheral* if it bounds a disk containing at most one point of  $cl(P_f)$ .

A system of non-peripheral simple closed curves  $\{\gamma_1, \dots, \gamma_n\}$  is called a Levy cycle if there exists a component  $\gamma'_i$  of  $f^{-1}(\gamma_{i+1})$  ( $1 \leq i \leq n$ ) such that  $\gamma_i$  and  $\gamma'_i$  are isotopic in  $\overline{\mathbb{C}} - cl(P_f)$  and  $f|_{\gamma'_i}$  is a homeomorphism,  $\gamma_{n+1} = \gamma_1$ .

**Corollary A.5** *If a rational map has a Levy cycle, then this cycle is contained in a periodic rotation domain.*

*Proof.* It is straightly from corollary A.4. ■

Remark: C.McMullen proved this by means of Strebel's result about the problem of moduli ([Mc2]).

**Corollary A.6** *Let  $\gamma : [0, \infty) \rightarrow \overline{\mathbb{C}}$  be a path with  $f(\gamma(t)) = \gamma(t - 1)$  for  $t \geq 1$ . If  $K \cap (cl(P_f) \cup R) = \emptyset$ , where  $K = \gamma([0, 1])$  and  $R$  is the union of periodic rotation domains, then  $\gamma(t)$  converges to a fixed point of  $f$  with the multiplier  $\lambda$  satisfying  $|\lambda| > 1$  or  $\lambda = 1$ .*

*Proof.* Denote  $K_n = \gamma([n, n + 1])$ , then  $f^n$  maps  $K_n$  onto  $K$  with degree one. By corollary A.4,  $diam(K_n) \rightarrow 0$ . Since  $K_n \cap K_{n+1} \neq \emptyset$ , we have  $diam(K_n \cup K_{n+1}) \rightarrow 0$  as well. Let  $x_{n_k} \in K_{n_k}$  be a sequence of points converging to a point  $x$ . By continuity  $f(x_{n_k}) \rightarrow f(x)$ . On the other hand,  $d(x_{n_k}, f(x_{n_k})) \leq diam(K_{n_k} \cup K_{n_k+1}) \rightarrow 0$  as  $k \rightarrow \infty$ . So  $f(x) = x$ . This means that any limit point of the sequence of sets  $K_n$  is a fixed point of  $f$ . Since the set of all limit points is connected and  $f$  has only finitely many fixed points, this proves that  $\gamma(t)$  converges to a fixed point  $z_0$  of  $f$ . By snail lemma,  $|\lambda| > 1$  or  $\lambda = 1$ , where  $\lambda = f'(z_0)$  ([Su], see also [Mi1]). ■

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