Uniqueness, regularity, compactness and inversion of the potential-to-density map  $v\mapsto \rho(v)$  of quantum mechanics

Louis Garrigue

September 15, 2020

Non relativistic quantum mechanics at equilibrium (static)

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- Fermions and bosons, condensed matter, superconductivity, electrons, Bose-Einstein condensates, quantum chemistry, cold atoms, nuclear physics, dense plasmas

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- Very few mathematical works on the foundations of DFT

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- To have  $\langle \Psi, H(v)\Psi \rangle \geqslant -c \langle \Psi, \Psi \rangle$ , need  $v \in (L^p + L^{\infty})(\mathbb{R}^d, \mathbb{R})$  with

$$\left\{ \begin{array}{ll} p=1 & \text{ for } d=1 \\ p>1 & \text{ for } d=2 \\ p=d/2 & \text{ for } d\geqslant 3. \end{array} \right.$$

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By Sobolev's inequality,  $\langle \Psi, H(v)\Psi \rangle \geqslant -c \langle \Psi, \Psi \rangle$ . Unique minimizer  $\Psi(x) = \frac{e^{i\theta}}{\sqrt{\pi}} e^{-|x|}$ 

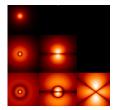
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•  $n^{\text{th}}$  excited state  $\varphi_n$  is given by  $\inf_{\substack{\int |\Psi|^2=1\\ \Psi \perp \operatorname{Span}(\varphi_0, \dots, \varphi_{n-1})}} \langle \Psi, H(\nu)\Psi \rangle$ 



• States are 
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- One-body density  $\rho \in L^1(\mathbb{R}^d, \mathbb{R}_+)$ , experimentally measurable

$$\rho_{\Psi}(x) := N \int_{\mathbb{R}^{d(N-1)}} |\Psi|^2 (x, x_2, \dots, x_N) \mathrm{d}x_2 \cdots \mathrm{d}x_N$$

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$$H^{N}(v) = \sum_{i=1}^{N} -\Delta_{x_{i}} + \sum_{1 \leq i < j \leq N} w(x_{i} - x_{j}) + \sum_{i=1}^{N} v(x_{i})$$

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• The energy of  $\Psi$  is :

$$\left\langle \Psi, H^{N}(v)\Psi \right\rangle = \int_{\mathbb{R}^{dN}} |\nabla \Psi|^{2} + W(\Psi) + \int_{\mathbb{R}^{d}} v \rho_{\Psi}$$

$$W(\Psi) := \sum_{i < i} \int_{\mathbb{R}^{dN}} w(x_{i} - x_{j}) |\Psi|^{2} (x_{1}, \dots, x_{N}) dx_{1} \cdots dx_{N}$$

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- Curse of dimensionality
- If w=0, then  $\Psi=\wedge_{i=0}^{N-1}\varphi_i$  where  $\varphi_i$  are the first eigenstates of  $-\Delta+v$ ,  $E^N(v)=\sum_{i=0}^{N-1}\left(\int_{\mathbb{R}^d}|\nabla\varphi_i|^2+\int_{\mathbb{R}^d}v\left|\varphi_i\right|^2\right)$ , and  $\rho_\Psi=\sum_{i=0}^{N-1}\left|\varphi_i\right|^2$

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- Kohn-Sham (1965) : replace (v, w) by  $v_{ks}$  such that  $\boxed{\rho_{w=0}(v_{ks}) = \rho(v)}$  where  $\rho_{w=0}(v_{ks})$  is the ground state density of

$$H_{w=0}^N(v) = \sum_{i=1}^N \left( -\Delta_{x_i} + v_{ks}(x_i) \right)$$

Kohn-Sham orbitals  $\varphi_i$ ,  $\Psi_{ks} = \bigwedge_{i=0}^{N-1} \varphi_i$ ,  $\sum_{i=0}^{N-1} |\varphi_i|^2 = \rho(v)$ 

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• Thomas-Fermi theory for  $w = \left| \cdot \right|^{-1}$  (1927)

$$E^{N}(\rho) \simeq c_{\mathsf{TF}} \int_{\mathbb{R}^{3}} \rho^{5/3} + \frac{1}{2} \int_{\mathbb{R}^{6}} \frac{\rho(x)\rho(y)}{|x-y|} \mathrm{d}x \mathrm{d}y + \int_{\mathbb{R}^{3}} v \rho$$

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$$F(\rho) := \inf_{\substack{\Psi \in H^1(\mathbb{R}^{dN}) \\ \int |\Psi|^2 = 1 \\ \rho_{\Psi} = \rho}} \left( \int_{\mathbb{R}^{dN}} |\nabla \Psi|^2 + W(\Psi) \right)$$

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• Approximate  $F(\rho)$  : "graal" of DFT

• Lieb-Thirring (1976)

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 Uniform electrons gaz in Lewin-Lieb-Seiringer (2018, 2019), jellium to Dirac order by Lieb-Narnhofer (1975), Graf-Solovej (1994), next order by Hainzl-Porta-Rexze (2020) and Benedikter-Nam-Porta-Schlein-Seiringer (2020)

### Table of contents

- 1 Hohenberg-Kohn theorems
  - Unique continuation
  - Extensions
- 2 The direct map  $v \mapsto \rho(v)$ 
  - The set of binding potentials
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- We can take  $? = L^{\frac{dN}{2}}(\mathbb{R}^d) + L^{\infty}(\mathbb{R}^d)$  by Jerison-Kenig (1985)

$$E_1 \leqslant \langle \Psi_2, H^N(v_1)\Psi_2 \rangle = E_2 + \int_{\mathbb{R}^d} \rho_{\Psi_2}(v_1 - v_2)$$

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 gives  $E_1 - E_2 \geqslant \int_{\mathbb{R}^d} \rho_{\Psi_1}(v_1 - v_2)$ 

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- **5** Substracting it with  $H^N(v_2)\Psi_2 = E_2\Psi_2$ , we get

$$\left(E_1 - E_2 + \sum_{i=1}^{N} (v_2 - v_1)(x_i)\right) \Psi_2 = 0$$

- **3** Exchanging  $1 \leftrightarrow 2$  gives  $E_1 E_2 \geqslant \int_{\mathbb{R}^d} \rho_{\Psi_1}(v_1 v_2)$
- Using  $\int_{\mathbb{R}^d} (v_1 v_2)(\rho_{\Psi_1} \rho_{\Psi_2}) = 0$ , the  $\leq$ 's above are =, hence  $\langle \Psi_2, H^N(v_1)\Psi_2 \rangle = E_1$ , that is  $\Psi_2$  is a ground state for  $H^N(v_1)$ , so  $H^N(v_1)\Psi_2 = E_1\Psi_2$
- **5** Substracting it with  $H^N(v_2)\Psi_2 = E_2\Psi_2$ , we get

$$\left(E_1 - E_2 + \sum_{i=1}^{N} (v_2 - v_1)(x_i)\right) \Psi_2 = 0$$

**3** By strong unique continuation,  $|\{\Psi_2(X) = 0\}| = 0$ , thus  $E_1 - E_2 + \sum_{i=1}^{N} (v_2 - v_1)(x_i) = 0$  and integrating on  $[0, L]^{d(N-1)}$ , we obtain  $v_1 = v_2 + (E_1 - E_2)/N$ 

### Strong UCP

### Theorem (Strong UCP for many-body Schrödinger operators)

Assume that the potentials satisfy

$$v, w \in L^p_{loc}(\mathbb{R}^d)$$
 with  $p > \max(2d/3, 2)$ .

If  $\Psi \in H^2_{loc}(\mathbb{R}^{dN})$  is a non zero solution to  $H^N(v)\Psi = E\Psi$ , then  $|\{\Psi(X) = 0\}| = 0$ .

- L. Garrigue, Unique continuation for many-body Schrödinger operators and the Hohenberg-Kohn theorem, Math. Phys. Anal. Geom., 21 (2018), p. 27.
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## Magnetic case, the Pauli Hamiltonian

$$H^{N}(v,A) := \sum_{j=1}^{N} \left( (\sigma_{j} \cdot (-i\nabla_{j} + A(x_{j})))^{2} + v(x_{j}) \right) + \sum_{1 \leq i < j \leq N} w(x_{i} - x_{j})$$

#### Theorem (Strong UCP for the many-body Pauli operator)

Assume that the potentials satisfy div A = 0 and

$$A \in L^q_{\mathrm{loc}}(\mathbb{R}^d)$$
 with  $q > 2d$ , curl  $A, v, w \in L^p_{\mathrm{loc}}(\mathbb{R}^d)$  with  $p > \max(2d/3, 2)$ .

If  $\Psi \in H^2_{loc}(\mathbb{R}^{dN})$  is a non zero solution to  $H^N(v,A)\Psi = E\Psi$ , then  $|\{\Psi(X)=0\}|=0$ .

# History of related UCP results

	Date	Weak or Strong	Number of particles	Hypothesis on $v$ (loc)	Magnetic ?
Carleman	39	W	$1 \; (and \; N)$	$L^{\infty}$	No
Hörmander	63	W	1	$L^{2d/3}$	No
Georgescu	80	W	Ν	$L^{2d/3}$	No
Schechter-Simon	80	W	Ν	$L^d$	No
Jerison-Kenig	85	S	1	$L^{d/2}$	No
Kurata	97	S	1	Many	Yes
Koch-Tataru	01	S	1	$L^{d/2}$	Yes
Laestadius-Benedicks-Penz	18	S	Ν	Many	Yes
Garrigue	19	S	Ν	$L^{p>2d/3}$	Yes

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Other works: Kinzebulatov-Shartser (2010), Lammert (2018)

# Carleman-type inequality

De Figueiredo-Gossez (1992) : if 
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, then  $\int \frac{|\Psi|^2}{|X-X_0|^\tau}$  is finite for all  $\tau$ . Take  $X_0=0$ .

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### Theorem (Carleman-type inequality)

Define  $\phi(X) := (-\ln |X|)^{-1/2}$ . We have

$$\tau^{3} \int_{B_{1/2}} \phi^{5} \left| \frac{e^{(\tau+2)\phi} \Psi}{|X|^{\tau+2}} \right|^{2} + \tau \int_{B_{1/2}} \phi^{5} \left| \nabla \left( \frac{e^{(\tau+1)\phi} \Psi}{|X|^{\tau+1}} \right) \right|^{2} + \tau^{-1} \int_{B_{1/2}} \phi^{5} \left| \Delta \left( \frac{e^{\tau\phi} \Psi}{|X|^{\tau}} \right) \right|^{2} \leqslant c \int_{B_{1/2}} \left| \frac{e^{\tau\phi} \Delta \Psi}{|X|^{\tau}} \right|^{2}.$$

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• With Hardy's inequality  $|X|^{-2s} \leq (-\Delta)^s$ , it transforms into

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### Corollary (Carleman fractionnaire)

Pour tout 
$$\delta > 0$$
,  $s \in [0,1]$ ,  $s' \in \left[0,\frac{1}{2}\right]$ ,  $\tau \geqslant \tau_0$ ,  $u \in C_{\mathsf{c}}^{\infty}(B_1 \setminus \{0\})$ ,

$$\tau^{3-4s} \left\| (-\Delta)^{(1-\delta)s} \left( e^{\tau \phi} u \right) \right\|_{L^{2}}^{2} + \tau^{1-4s'} \sum_{i=1}^{n} \left\| (-\Delta)^{(1-\delta)s'} \left( e^{\tau \phi} \partial_{i} u \right) \right\|_{L^{2}}^{2} \leqslant \frac{\kappa_{n}}{\delta^{5/2}} \left\| e^{\tau \phi} \Delta u \right\|_{L^{2}}^{2}.$$

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• We use  $|V_{\mathsf{many-body}}|^2 \leqslant \epsilon (-\Delta)^{\frac{3}{2}-\delta} + c$ 

### Table of contents

- Hohenberg-Kohn theorems
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- 2 The direct map  $v \mapsto \rho(v)$ 
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• Interactions :

Therefore : 
$$\rho_{\Psi}^{(2)}(x,y) := \frac{N(N-1)}{2} \int_{\mathbb{R}^{d(N-2)}} |\Psi|^2 (x,y,x_3,\ldots,x_N) \mathrm{d}x_3 \cdots \mathrm{d}x_N$$

$$\left\langle \Psi, \left( \sum_{1 \leqslant i < j \leqslant N} w(x_i - x_j) \right) \Psi \right\rangle = \int_{\mathbb{R}^{2d}} w(x-y) \rho_{\Psi}^{(2)}(x,y),$$

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• Zeeman magnetism :  $H^N(v, B) := H^N(v) + \sum_{i=1}^N \sigma_i \cdot B(x_i)$ ,  $\left\langle \Psi, \left( \sum_{i=1}^N \sigma_i \cdot B(x_i) \right) \Psi \right\rangle = \int_{\mathbb{R}^d} B \cdot m_{\Psi}$   $(v, B) \mapsto (\rho, m)$ , "almost" injective

$$(
ho_{\Psi_1}, m_{\Psi_1}) = (
ho_{\Psi_2}, m_{\Psi_2}) \implies \left[ |B_1 - B_2| \, \chi = rac{E_1 - E_2}{N} + v_2 - v_1 
ight],$$
 where  $\chi(x) \in \{-1, -1 + rac{2}{N}, -1 + rac{4}{N}, \dots, 1 - rac{2}{N}, 1\}$ 

L. GARRIGUE, Hohenberg-Kohn theorems for interactions, spin and temperature, J. Stat. Phys. (2019)

• Non-local potentials :  $H^N(G) := H^N(0) + \sum_{i=1}^N G_i$ ,  $\gamma_{\Psi}(x,y) = N \int_{\mathbb{R}^{d(N-1)}} \Psi(x,x_2,\dots) \overline{\Psi(y,x_2,\dots)} \mathrm{d}x_2 \cdots \mathrm{d}x_N$ ,  $\left\langle \Psi, \left( \sum_{i=1}^N G_i \right) \Psi \right\rangle = \mathrm{Tr} \ G \gamma_{\Psi}$ , counterexamples at w=0

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- At T > 0, all HKs hold :  $(T, v, A, w) \mapsto (S, \rho, j_{\text{tot}}, \rho^{(2)})$  injective, non local  $G \mapsto \gamma$ , classical, (grand) canonical

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#### Plan

- 1 Hohenberg-Kohn theorems
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# Definition of $v \mapsto \rho(v)$

$$H^{N}(v) := \sum_{i=1}^{N} -\Delta_{i} + \sum_{1 \leq i < j \leq N} w(x_{i} - x_{j}) + \sum_{i=1}^{N} v(x_{i})$$

• Starting space : binding potentials  $(\Sigma^N(v) := \min \sigma_{\operatorname{ess}}(H^N(v)))$   $\mathcal{V}^N_\partial := \left\{ v \in (L^{\frac{d}{2}} + L^\infty)(\mathbb{R}^d) \mid E^N(v) < \Sigma^N(v) \right\}$ 

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•  $\Psi(v) := \text{ground state of } H^N(v),$ 

$$\rho: \begin{array}{ccc} \mathcal{V}^{N} & \longrightarrow & W^{1,1}(\mathbb{R}^{d}, \mathbb{R}_{+}) \cap \left\{ \int \cdot = N \right\} \\ v & \longmapsto & \rho_{\Psi(v)} = \rho(v), \end{array}$$

### Table of contents

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# The set of binding potentials

• The sets  $\mathcal{V}^N$  and  $\mathcal{V}^N_\partial$  are open in  $L^{d/2} + L^\infty$  so they are smooth closed embedded manifolds

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The set  $\rho\left(\bigcap_{n=1}^{N}\mathcal{V}_{\partial}^{n}\right)$  is path-connected

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• Is  $\mathcal{V}^N$  path-connected? Adiabatic equivalence?

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$$\|A\|_{\mathfrak{S}_{\infty,1}} = \left\| (-\Delta + 1)^{\frac{1}{2}} A (-\Delta + 1)^{\frac{1}{2}} \right\|_{L^2 \to L^2}, \qquad \|A\|_{\mathfrak{S}_{1,1}}$$

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$$\mathcal{H}^1_{p} := \frac{\mathcal{H}^1 \cap \mathbb{S}}{\mathcal{S}^1}, \hspace{1cm} \mathscr{P} : \begin{array}{ccc} \mathcal{H}^1_{p} & \longrightarrow & \mathfrak{S}_{\infty,1} \cap \{\operatorname{Tr} \cdot = 1\} \cap \{\| \cdot \| = 1\} \\ \psi & \longmapsto & | \Psi \rangle \left\langle \Psi | \right., \end{array}$$

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#### **Proposition**

 $\mathscr{P}$  is a  $\mathcal{C}^{\infty}$  embedding,  $\operatorname{Im} \mathscr{P}$  is a submanifold of  $\mathfrak{S}_{\infty,1}$ 

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#### Proposition

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#### Lemma

$$\widetilde{\rho}: H^1_{\mathtt{p}} o W^{1,1} \cap \left\{ \int \cdot = \mathsf{N} \right\} \text{ is } \mathcal{C}^{\infty}$$

# Regularity and compactness of $v \mapsto \rho(v)$

#### Theorem (Main properties of $\Psi$ )

•  $\Psi$  is  $\mathcal{C}^{\infty}$  from  $\mathcal{V}^{N}$  to  $H^{1}_{p}$ 

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- For  $v \in \mathcal{V}^N$ ,  $d_v \Psi : L^{d/2} + L^\infty \to H^1 \cap \{\Psi(v)\}^\perp$

$$(\mathrm{d}_{v}\Psi)\,u=-\big(H^{N}(v)-E^{N}(v)\big)_{\perp}^{-1}\big(\Sigma_{i=1}^{N}u(x_{i})\big)\Psi(v),$$

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 $\rho$  and  $d_{\nu}\rho$  are injective when  $p > \max(2d/3, 2)$ 

## Corollaries: Hellman-Feynman

The energy  $v \mapsto E^N(v)$  is Lipschitz continuous (Lieb 83), concave and weakly upper semi-continuous on  $L^p + L^\infty$ 

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The energy  $E^N$  is  $C^\infty$  on  $\mathcal{V}^N$ , with

$$\left(\mathrm{d}_{v}E^{N}\right)u=\int_{\mathbb{R}^{d}}u\rho(v)$$

## Corollaries: ill-posedness of the Kohn-Sham potential

#### Corollary (The set of v-representable densities is very small)

Consider that the system lives in a bounded open set  $\Omega \subset \mathbb{R}^d$ . Then  $v \mapsto \rho(v)$  is compact,  $\rho^{-1}$  is discontinuous, and  $\rho(\mathcal{V}^N)$  is a countable union of compact sets. Hence  $\rho(\mathcal{V}^N)$  has empty interior in  $W^{1,1} \cap \{ f \cdot = N \}$ .

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For  $v \in \rho^{-1}\left(\rho(\mathcal{V}^N) \cap \rho_{w=0}(\mathcal{V}^N_{w=0})\right)$ , the Kohn-Sham potential

$$v_{\mathsf{ks}}(v) := \rho_{w=0}^{-1} \circ \rho(v)$$

is ill-posed!

## Inverse continuity

#### Proposition (Weak inverse continuity of $\Psi$ )

Let  $p > \max(2d/3, 2)$ ,  $v, v_n \in \mathcal{V}^N$  such that  $v_n - E^N(v_n)/N$  is bounded in  $L^p + L^\infty$  and  $\Psi(v_n) \to \Psi(v)$  in  $H^2(\mathbb{R}^{dN})$ . Then  $v_n \to v$  a.e. up to a subsequence.

#### Table of contents

- 1 Hohenberg-Kohn theorems
  - Unique continuation
  - Extensions
- **2** The direct map  $v \mapsto \rho(v)$ 
  - The set of binding potentials
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## Degenerate potentials

#### Proposition (Degenerate Hellman-Feynman)

The energy  $v \mapsto E^N(v)$  is infinitely half Gateaux differentiable on the singular points  $\mathcal{V}_{\partial}^N \setminus \mathcal{V}^N$ , with

$$^+\delta_{v}E^{N}(u) = \min \sigma \left(\mathcal{P}(v)u\mathcal{P}(v)\right) = \min_{\substack{\Psi \in \operatorname{Ker}\left(H^{N}(v) - E^{N}(v)\right) \ |\Psi|^{2} = 1}} \int \rho_{\Psi}u$$

Similarly,  ${}^-\delta_v E^N(u) = \max_{\Psi \dots} \int \rho_\Psi u$ . If dim Ker  $(H^N(v) - E^N(v)) = 2$  with  $\Psi_1, \Psi_2$  an orthonormal basis,

$$^{\pm}\delta_{\nu}E^{N}(u) = \frac{1}{2}\int u\left(\rho_{\Psi_{1}} + \rho_{\Psi_{2}}\right)$$

$$\mp \frac{1}{2}\sqrt{\left(\int u\left(\rho_{\Psi_{1}} - \rho_{\Psi_{2}}\right)\right)^{2} + 4\left|\left\langle\Psi_{1},\left(\sum_{i}u_{i}\right)\Psi_{2}\right\rangle\right|^{2}}.$$

### Degenerate potentials

#### **Proposition**

• Let  $\dim \operatorname{Ker} \left( H^N(v) - E^N(v) \right) = 2$ , take  $\psi, \varphi \in \operatorname{Ker} \left( H^N(v) - E^N(v) \right)$  with  $\psi \perp \varphi$ . The degeneracy is broken in no direction at first order if and only if  $\rho_{\psi} = \rho_{\varphi}$  and  $\int_{\mathbb{R}^d(N-1)} \psi \varphi \mathrm{d} x_2 \cdots \mathrm{d} x_N = 0$ 

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- Let  $v \in \mathcal{V}_{\partial}^{N} \setminus \mathcal{V}^{N}$  be degenerate, and w = 0. Then  $h \mapsto E^{N}(h)$  is not differentiable at v, in particular  $+\delta_{v}E^{N}(u) < -\delta_{v}E^{N}(u)$  for at least one direction u

#### Plan

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- Ideally we want exact, pure quantum states,  $\mathbb{R}^d$ , T=0

#### Table of contents

- 1 Hohenberg-Kohn theorems
  - Unique continuation
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  - The set of binding potentials
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## Regularization: relaxation

• 
$$G_{\rho}(v) = E^{N}(v) - \int v\rho$$
 is not coercive in  $L^{p}$ ! Ex:  $V \in L^{1} \cap L^{p>1}$ ,  $V \geqslant 0$ ,  $V_{n}(x) := n^{d}V(nx)$ ,  $\|V_{n}\|_{L^{p}}^{p} = n^{d(p-1)}\int V^{p} \to +\infty$  but  $E^{N}(V_{n}) = 0$ , and  $\int V_{n}\rho \to \rho(0)\int V$  is bounded

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- Relax :  $\rho_{\Psi} = \rho$  replaced by  $\int \rho_{\Psi} \alpha_i = r_i \left( = \int \rho \alpha_i \right)$  for weights  $\alpha_i \in L^{\infty}(\Omega, \mathbb{R}_+)$ ,  $i \in I$ ,  $\sum_i \alpha_i = \mathbb{1}_{\Omega}$ . For  $r = (r_i)_i$ ,  $r_i > 0$ ,  $\sum_i r_i = N$ ,

$$F^{N,\alpha}(r) := \inf_{\substack{\Psi \in H^1_a(\Omega^N) \\ \int \alpha_i \rho_\Psi = r_i \ \forall i \in I}} \left\langle \Psi, H^N\left(0\right) \Psi \right\rangle, \quad F^{N,\alpha}_{\mathsf{mix}}(r) := \inf_{\substack{\Gamma \in \mathcal{S}^N_{\mathsf{mix}}(\Omega) \\ \int \alpha_i \rho_\Gamma = r_i \ \forall i \in I}} \mathrm{Tr} \ H^N(0) \Gamma$$

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• Dual : restriction to potentials  $V = \sum_{i \in I} v_i \alpha_i$ ,  $v = (v_i)_i \in \ell^{\infty}(I, \mathbb{R})$ 

$$G_{r,\alpha}(v) := E^N\left(\sum_{i \in I} v_i \alpha_i\right) - \sum_{i \in I} v_i r_i,$$

## Regularization: result

#### Theorem (Well-posedness of the dual problem)

Let I be finite. Then  $G_{r,\alpha}$  is coercive in  $\ell^1(I,\mathbb{R})$ , and there exists a unique maximizer. If moreover  $\Omega$  is bounded, there is an N-particle ground mixed state  $\Gamma_v$  of  $H^N\left(\sum_{i\in I}v_i\alpha_i\right)$  such that  $\int \alpha_i \rho_{\Gamma_v} = r_i$  and

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- We can represent  $\rho$  by taking  $(r_{\rho})_i := \int \rho \alpha_i$  and finer sequences of weights  $\alpha_n$
- For pure states, a similar theorem would hold for v-representability of densities, but with excited states, if we can prove a unique continuation like theorem

## Table of contents

- 1 Hohenberg-Kohn theorems
  - Unique continuation
  - Extensions
- 2 The direct map  $v \mapsto \rho(v)$ 
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• We consider a target density  $\rho\geqslant 0$  with  $\int \rho=N$  and the Hamiltonian without interaction

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- We know that we can approach the constraint  $\rho_{\Gamma(\nu)} = \rho$  (mixed states) with  $\nu$ 's
- Is  $\rho\left(\mathcal{V}_{\partial}^{N}\right) = \left\{\rho_{\Psi(v)} \mid v \in \mathcal{V}_{\partial}^{N}\right\}$  dense in  $\left\{\rho \geqslant 0, \int \cdot = N\right\}$ ?

Maximize

$$G_{\rho}(v) := E^{N}(v) - \int_{\mathbb{R}^{d}} v \rho$$

$$(\mathrm{d}_{v}G_{\rho})u=\int_{\mathbb{R}^{d}}u(\rho(v)-\rho),$$

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Hellman-Feynman:

$$(\mathrm{d}_{v}G_{\rho})u=\int_{\mathbb{R}^{d}}u(\rho(v)-\rho),$$

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- Iterate  $v_{n+1} = v_n + \lambda (\rho(v_n) \rho)$

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- Iterate  $v_{n+1} = v_n + \lambda (\rho(v_n) \rho)$
- Convergence criterion :

$$\|\rho(\mathbf{v}_n) - \rho\|_{L^1} / N \leqslant 10^{-3}$$

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### d = 1

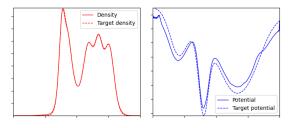


Figure: d = 1, N = 5, ground state (first line) and second excited state (second line)

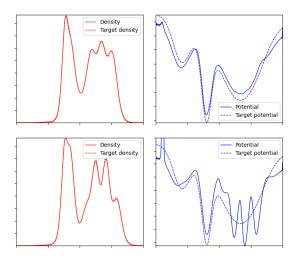


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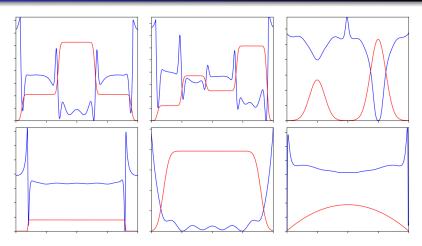


Figure: Target densities (red) and their Kohn-Sham potential (blue), for  $d=1,\ N=5$ 

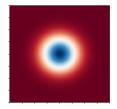


Figure: Gaussian target density, N=2, the two ground state density configurations of potentials maximizing  $G_{\rho}$ , plot of  $\|\rho(v_n) - \rho\|_{L^1}/N$  against n

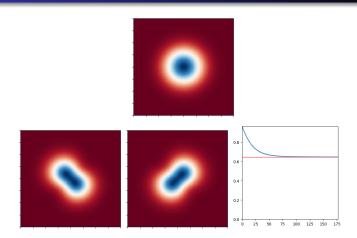


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- We recall

### Proposition (Non-degeneracy theorem)

For d=1 and N=1, for  $v\in (L^1+L^\infty)(\mathbb{R})$ , every eigenstate of  $H^N(v)$  is non-degenerate.

For d = 1 and any N, degeneracy seems accidental

- Lieb (1983) showed that there exists a large class of  $\rho$ 's such that  $F_{\rm mix}^N(\rho) < F_{\rm pure}^N(\rho)$ , radially symmetric  $\rho$ 's for instance. Works for  $d \geqslant 2$
- We launched the algorithm on several hundreds of target densities, and it rarely converges. The Kohn-Sham potential for pure states generically does not exists for  $d \geqslant 2$
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 Close relationship between the Kohn-Sham potential, Levy and Levy-Lieb functionals, and degeneracy

# d=2, symmetry breaking

Take a familly

$$\rho_{\alpha}(x) := c_{\alpha} \left( e^{-\|x - x_0\|_2^2/(2\sigma^2)} + \alpha e^{-\|x + x_0\|_2^2/(2\sigma^2)} \right),$$

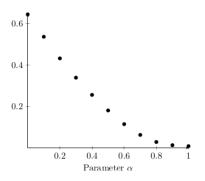


Figure: Plot of  $\inf_{n\in\mathbb{N}} \|\rho(v_n) - \rho_\alpha\|_{L^1} / N$  against  $\alpha$ , N = 2,  $\sigma = 1$ ,  $x_0 = (\sigma/5, 0)^T$ 

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- ullet If no, then the Kohn-Sham potential of  $\rho$  does not exist