

# THE RIEMANN MAPPING THEOREM



UNIVERSITY OF  
TORONTO

December 2<sup>nd</sup>, 2020 and December 4<sup>th</sup>, 2020

# The Riemann mapping theorem – 1

## The Riemann mapping theorem

Let  $U \subsetneq \mathbb{C}$  be a simply connected open subset which is not  $\mathbb{C}$ .

Then there exists a biholomorphism  $f : U \rightarrow D_1(0)$  (i.e.  $f$  is holomorphic, bijective and  $f^{-1}$  is holomorphic).

We say that  $U$  and  $D_1(0)$  are **conformally equivalent**.

# The Riemann mapping theorem – 1

## The Riemann mapping theorem

Let  $U \subsetneq \mathbb{C}$  be a simply connected open subset which is not  $\mathbb{C}$ .

Then there exists a biholomorphism  $f : U \rightarrow D_1(0)$  (i.e.  $f$  is holomorphic, bijective and  $f^{-1}$  is holomorphic).

We say that  $U$  and  $D_1(0)$  are **conformally equivalent**.

## Remark

Note that if  $f : U \rightarrow V$  is bijective and holomorphic then  $f^{-1}$  is holomorphic too.

Indeed, we proved that if  $f$  is injective and holomorphic then  $f'$  never vanishes (Nov 30).

Then we can conclude using the inverse function theorem.

Note that this result is false for  $\mathbb{R}$ -differentiability:

Define  $f : \mathbb{R} \rightarrow \mathbb{R}$  by  $f(x) = x^3$  then  $f'(0) = 0$  and  $f^{-1}(x) = \sqrt[3]{x}$  is not differentiable at 0.

# The Riemann mapping theorem – 1

## The Riemann mapping theorem

Let  $U \subsetneq \mathbb{C}$  be a simply connected open subset which is not  $\mathbb{C}$ .

Then there exists a biholomorphism  $f : U \rightarrow D_1(0)$  (i.e.  $f$  is holomorphic, bijective and  $f^{-1}$  is holomorphic).

We say that  $U$  and  $D_1(0)$  are **conformally equivalent**.

## Remark

Note that if  $f : U \rightarrow V$  is bijective and holomorphic then  $f^{-1}$  is holomorphic too. Indeed, we proved that if  $f$  is injective and holomorphic then  $f'$  never vanishes (Nov 30).

Then we can conclude using the inverse function theorem.

Note that this result is false for  $\mathbb{R}$ -differentiability:

Define  $f : \mathbb{R} \rightarrow \mathbb{R}$  by  $f(x) = x^3$  then  $f'(0) = 0$  and  $f^{-1}(x) = \sqrt[3]{x}$  is not differentiable at 0.

## Remark

The theorem is false if  $U = \mathbb{C}$ . Indeed, by Liouville's theorem, if  $f : \mathbb{C} \rightarrow D_1(0)$  is holomorphic then it is constant (as a bounded entire function), so it can't be bijective.

## The Riemann mapping theorem – 2

This theorem states that up to biholomorphic transformations, the unit disk is a model for open simply connected sets which are not  $\mathbb{C}$ .

Otherwise stated, up to a biholomorphic transformation, there are only two open simply connected sets:  $D_1(0)$  and  $\mathbb{C}$ . Formally:

### Corollary

Let  $U, V \subsetneq \mathbb{C}$  be two simply connected open subsets, none of which is  $\mathbb{C}$ .

Then there exists a biholomorphism  $f : U \rightarrow V$  (i.e.  $f$  is holomorphic, bijective and  $f^{-1}$  is holomorphic).

## The Riemann mapping theorem – 2

This theorem states that up to biholomorphic transformations, the unit disk is a model for open simply connected sets which are not  $\mathbb{C}$ .

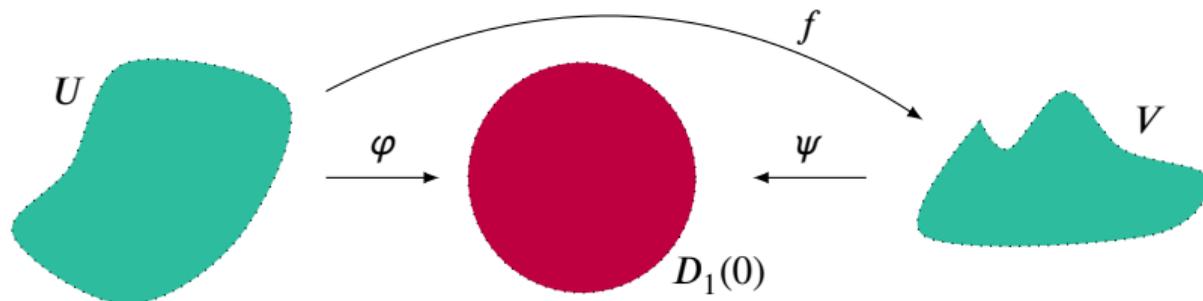
Otherwise stated, up to a biholomorphic transformation, there are only two open simply connected sets:  $D_1(0)$  and  $\mathbb{C}$ . Formally:

### Corollary

Let  $U, V \subsetneq \mathbb{C}$  be two simply connected open subsets, none of which is  $\mathbb{C}$ .

Then there exists a biholomorphism  $f : U \rightarrow V$  (i.e.  $f$  is holomorphic, bijective and  $f^{-1}$  is holomorphic).

*Proof.* By the Riemann mapping theorem, there exists biholomorphisms  $\varphi : U \rightarrow D_1(0)$  and  $\psi : V \rightarrow D_1(0)$ . Then we can simply take  $f = \psi^{-1} \circ \varphi$ .



## Corollary

Let  $U \subset \mathbb{C}$  be an open subset.

Then  $U$  is simply connected if and only if it is homeomorphic to  $D_1(0)$ .

## Corollary

Let  $U \subset \mathbb{C}$  be an open subset.

Then  $U$  is simply connected if and only if it is homeomorphic to  $D_1(0)$ .

*Proof.*

$\Rightarrow$  Assume that  $U \subsetneq \mathbb{C}$  is simply connected then there exists a biholomorphism  $f : U \rightarrow D_1(0)$ .  
Particularly  $f$  is a homeomorphism.

Note that  $\mathbb{C}$  is also homeomorphic to  $D_1(0)$ .

$\Leftarrow$  Assume that there exists a homeomorphism  $f : V \rightarrow U$  where  $V = D_1(0)$ .

Since  $V$  is simply connected, we get that  $U$  is too since simple connectedness is preserved by homeomorphisms. ■

## Corollary

Let  $U \subset \mathbb{C}$  be an open subset.

Then  $U$  is simply connected if and only if it is homeomorphic to  $D_1(0)$ .

*Proof.*

$\Rightarrow$  Assume that  $U \subsetneq \mathbb{C}$  is simply connected then there exists a biholomorphism  $f : U \rightarrow D_1(0)$ .  
Particularly  $f$  is a homeomorphism.

Note that  $\mathbb{C}$  is also homeomorphic to  $D_1(0)$ .

$\Leftarrow$  Assume that there exists a homeomorphism  $f : V \rightarrow U$  where  $V = D_1(0)$ .

Since  $V$  is simply connected, we get that  $U$  is too since simple connectedness is preserved by homeomorphisms. ■

## Remark

Careful: the continuous image of a simply connected set may not be simply connected.

For instance  $\exp(\mathbb{C}) = \mathbb{C} \setminus \{0\}$ .

# Example 1: the Poincaré half-plane

We define the Poincaré half-plane by  $\mathbb{H} = \{z \in \mathbb{C} : \Im(z) > 0\}$ .

The mapping  $\varphi : \mathbb{H} \rightarrow D_1(0)$  defined by  $\varphi(z) = \frac{z-i}{z+i}$  is biholomorphic.

First check that  $\varphi$  is well-defined:  $\forall z \in \mathbb{H}, z \neq -i$  and  $\varphi(z) \in D_1(0)$ .

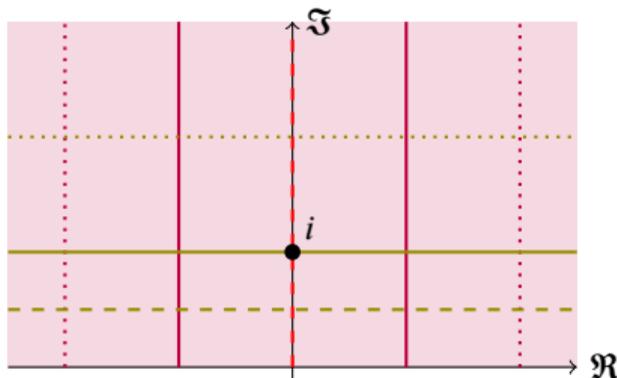
Then note that  $\varphi$  is the restriction of a Möbius transformation  $\hat{\varphi} : \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$ .

It is not too difficult to check that  $\hat{\varphi}(\mathbb{R} \cup \{\infty\}) = S^1 (= \{z \in \mathbb{C} : |z| = 1\})$ .

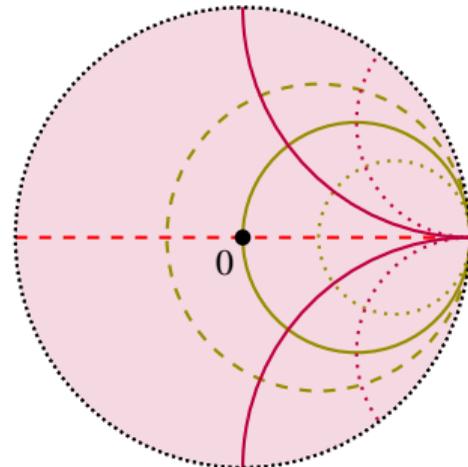
The complement of  $\mathbb{R} \cup \{\infty\}$  in  $\hat{\mathbb{C}}$  has two connected components which are  $\mathbb{H}$  and  $-\mathbb{H}$ .

And  $\hat{\mathbb{C}} \setminus S^1$  has two connected components:  $D_1(0)$  and  $\{z \in \mathbb{C} : |z| > 1\} \cup \{\infty\}$ .

Since  $\varphi(i) = 0 \in D_1(0)$ , we deduce that  $\varphi(\mathbb{H}) = D_1(0)$ .



$\varphi$



Note that  $\varphi$  maps right angles to right angles!

## Example 2: a horizontal band

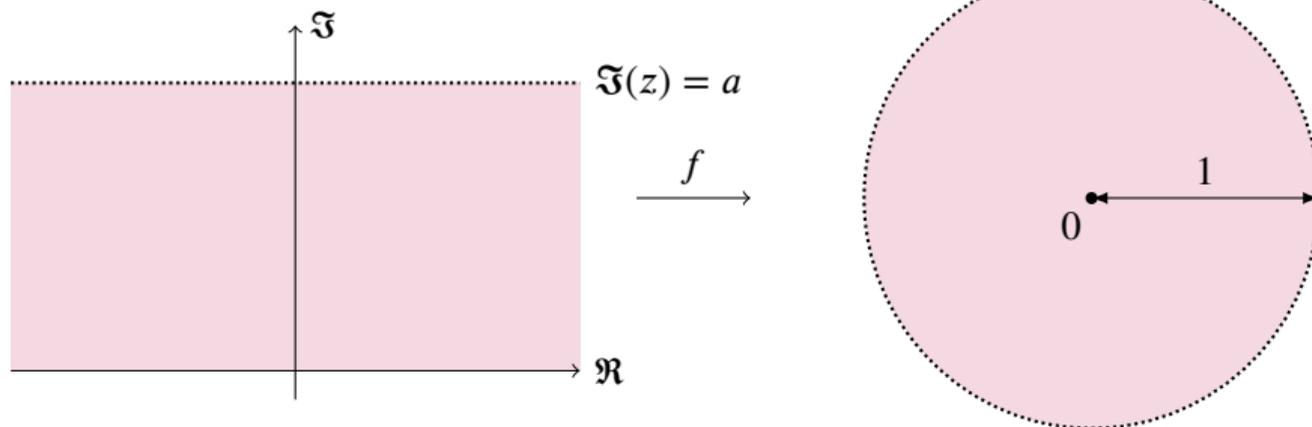
We set

$$B := \{z \in \mathbb{C} : 0 < \Im(z) < a\}, \quad a > 0$$

We know that  $\psi : B \rightarrow \mathbb{H}$  defined by  $\psi(z) = e^{\frac{\pi}{a}z}$  is biholomorphic.

Hence  $f = \varphi \circ \psi : B \rightarrow D_1(0)$  is biholomorphic, where  $\varphi$  was defined in the previous slide, i.e.

$$f(z) = \frac{e^{\frac{\pi}{a}z} - i}{e^{\frac{\pi}{a}z} + i}$$

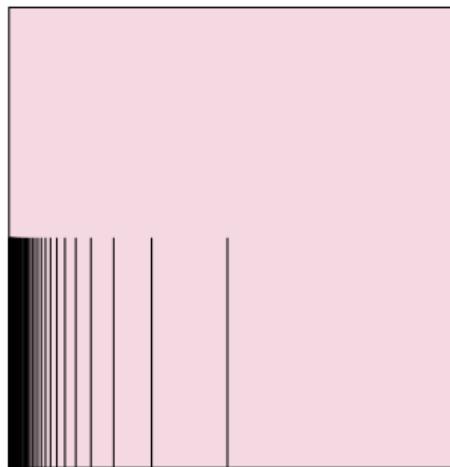


## Example 3

In practice the biholomorphism  $\varphi$  between  $U$  and  $D_1(0)$  may be difficult to express explicitly. For instance, the following set is simply connected

$$U = ((0, 1) \times (0, 1)) \setminus \left( \bigcup_{n \geq 2} \left\{ \frac{1}{n} \right\} \times \left( 0, \frac{1}{2} \right) \right)$$

but the behavior of  $\varphi$  around the boundary of  $D_1(0)$  is going to be quite complicated!

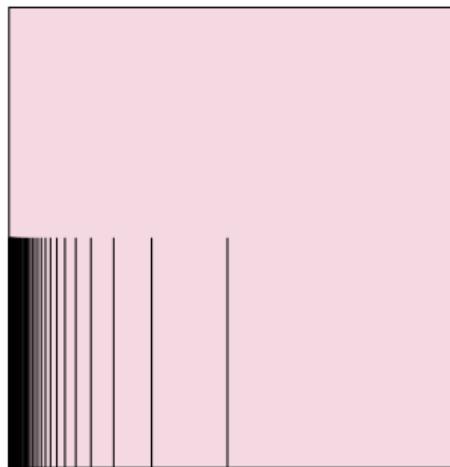


## Example 3

In practice the biholomorphism  $\varphi$  between  $U$  and  $D_1(0)$  may be difficult to express explicitly. For instance, the following set is simply connected

$$U = ((0, 1) \times (0, 1)) \setminus \left( \bigcup_{n \geq 2} \left\{ \frac{1}{n} \right\} \times \left( 0, \frac{1}{2} \right) \right)$$

but the behavior of  $\varphi$  around the boundary of  $D_1(0)$  is going to be quite complicated!



Even worse, we can take  $U$  to be the interior of the Koch snowflake.